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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

ALLAN P BAKKE

Title

APPARATUS FOR THAWING FROZEN BIOLOGICAL
FLUIDS UT

Express Mail Label No.

ER317681062US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. ☒ Oath or Declaration [Total Pages 2]
 - a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - b. ☐ (for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: REQUEST UNDER MPEP § 707.07(j) (pro se)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

☒ Correspondence address below

Name	ALLAN P BAKKE				
Address	3220 COUNTY VIEW CT SW				
City	ROCHESTER	State	MN	Zip Code	55902
Country	USA	Telephone	507-288-3540	Fax	

Name (Print/Type)			Registration No. (Attorney/Agent)		
Signature	Allan P Bakke		Date	06/30/2003	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

In th United States Patent and Trademark Office

Mailed: 30 June 2003

At: Los Altos, CA

Assistant Commissioner for Patents
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: ALLAN P BAKKE

Other Applicant(s): None

Title: APPARATUS FOR THAWING FROZEN BIOLOGICAL FLUIDS UTILIZING
HEATING PLATES AND OSCILLATORY MOTION TO ENHANCE HEAT TRANSFER
BY MIXING

(x) Specification, Claims, and Abstract: Nr. of Sheets (15)

(x) Declaration: Date Signed: 30 June 2003

(x) Drawing(s): Number of Sheets Enclosed: Formal: 4

(x) Small Entity status is claimed

(x) Credit Card Payment Form PTO-2038 for \$375.00 for:

(x) \$ 375.00 for filing fee (not more than three independent claims and
twenty total claims are presented).

(x) Return Receipt Postcard Addressed to Applicant #1.

(x) Request Under MPEP § 707.07(j): The undersigned, a pro-se applicant,
respectfully requests that if the Examiner finds patentable subject matter disclosed in
this application, but feels that Applicant's present claims are not entirely suitable, the
Examiner draft one or more allowable claims for applicant.

Very respectfully,

Signature 

Date 30 June 2003

ALLAN P BAKKE
3220 COUNTY VIEW CT SW
ROCHESTER, MN 55902

Express Mail Label # E R 3 1 7 6 8 1 0 6 2 U S; Date of Deposit: 30 June 2003